CA/Browser Forum

Baseline Requirements
Certificate Policy
for the
Issuance and Management of
Publicly-Trust Certificate
Alternatively, the CA MAY verify the address of the Applicant (but not the identity of the Applicant) using a utility bill, bank statement, credit card statement, government-issued tax document, or other form of identification that the CA determines to be reliable.

### 3.2.2.2. DBA/Tradename

If the Subject Identity Information is to include a DBA or tradename, the CA SHALL verify the Applicant’s right to use the DBA/tradename using at least one of the following:

1. Documentation provided by, or communication with, a government agency in the jurisdiction of the Applicant’s legal creation, existence, or recognition;
2. A Reliable Data Source;
3. Communication with a government agency responsible for the management of such DBAs or tradenames;
4. An Attestation Letter accompanied by documentary support; or
5. A utility bill, bank statement, credit card statement, government-issued tax document, or other form of identification that the CA determines to be reliable.

### 3.2.2.3. Verification of Country

If the subject:countryName field is present, then the CA SHALL verify the country associated with the Subject using one of the following: (a) the IP Address range assignment by country for either (i) the web site’s IP address, as indicated by the DNS record for the web site or (ii) the Applicant’s IP address; (b) the ccTLD of the requested Domain Name; (c) information provided by the Domain Name Registrar; or (d) a method identified in Section 3.2.2.1. The CA SHOULD implement a process to screen proxy servers in order to prevent reliance upon IP addresses assigned in countries other than where the Applicant is actually located.

### 3.2.2.4. Validation of Domain Authorization or Control

This section defines the permitted processes and procedures for validating the Applicant’s ownership or control of the domain.

The CA SHALL confirm that, as of the date the Certificate issues, either the CA or a Delegated Third Party has validated each Fully-Qualified Domain Name (FQDN) listed in the Certificate using at least one of the methods listed below.

Completed confirmations of Applicant authority may be valid for the issuance of multiple certificates over time. In all cases, the confirmation must have been initiated within the time period specified in the relevant requirement (such as Section 3.3.1 of this document) prior to certificate issuance. For purposes of domain validation, the term Applicant includes the Applicant’s Parent Company, Subsidiary Company, or Affiliate.

Note: FQDNs may be listed in Subscriber Certificates using dNSNames in the subjectAltName extension or in Subordinate CA Certificates via dNSNames in permittedSubtrees within the Name Constraints extension.

#### 3.2.2.4.1 Validating the Applicant as a Domain Contact [Reserved]

Confirming the Applicant’s control over the FQDN by validating the Applicant is the Domain Contact directly with the Domain Name Registrar. This method may only be used if:

1. The CA authenticates the Applicant’s identity under BR Section 3.2.2.1 and the authority of the Applicant Representative under BR Section 3.2.5, OR
2. The CA authenticates the Applicant’s identity under EV Guidelines Section 11.2 and the agency of the Certificate Approver under EV Guidelines Section 11.8; OR
3. The CA is also the Domain Name Registrar, or an Affiliate of the Registrar, of the Base Domain Name.

#### 3.2.2.4.2 [Reserved] Email, Fax, SMS, or Postal Mail to Domain Contact
Confirming the Applicant’s control over the FQDN by sending a Random Value via email, fax, SMS, or postal mail and then receiving a confirming response utilizing the Random Value. The Random Value MUST be sent to an email address, fax/SMS number, or postal mail address identified as a Domain Contact.

Each email, fax, SMS, or postal mail MAY confirm control of multiple Authorization Domain Names.

The CA or Delegated Third Party MAY send the email, fax, SMS, or postal mail identified under this section to more than one recipient provided that every recipient is identified by the Domain Name Registrar as representing the Domain Name Registrant for every FQDN being verified using the email, fax, SMS, or postal mail.

The Random Value SHALL be unique in each email, fax, SMS, or postal mail.

The CA or Delegated Third Party MAY resend the email, fax, SMS, or postal mail in its entirety, including re-use of the Random Value, provided that the communication’s entire contents and recipient(s) remain unchanged.

The Random Value SHALL remain valid for use in a confirming response for no more than 30 days from its creation. The CPS MAY specify a shorter validity period for Random Values, in which case the CA MUST follow its CPS.

3.2.2.4.3 Phone Contact with Domain Contact[Reserved]

Confirming the Applicant’s control over the requested FQDN by calling the Domain Name Registrant’s phone number and obtaining a response confirming the Applicant’s request for validation of the FQDN. The CA or Delegated Third Party MUST place the call to a phone number identified by the Domain Name Registrar as the Domain Contact.

Each phone call SHALL be made to a single number and MAY confirm control of multiple FQDNs, provided that the phone number is identified by the Domain Registrar as a valid contact method for every Base Domain Name being verified using the phone call.

3.2.2.4.4 Constructed Email to Domain Contact[Reserved]

Confirming the Applicant’s control over the requested FQDN by (i) sending an email to one or more addresses created by using ‘admin’, ‘administrator’, ‘webmaster’, ‘hostmaster’, or ‘postmaster’ as the local part, followed by the at-sign (“@”), followed by an Authorization Domain Name, (ii) including a Random Value in the email, and (iii) receiving a confirming response utilizing the Random Value.

Each email MAY confirm control of multiple FQDNs, provided the Authorization Domain Name used in the email is an Authorization Domain Name for each FQDN being confirmed.

The Random Value SHALL be unique in each email.

The email MAY be re-sent in its entirety, including the re-use of the Random Value, provided that its entire contents and recipient SHALL remain unchanged.

The Random Value SHALL remain valid for use in a confirming response for no more than 30 days from its creation. The CPS MAY specify a shorter validity period for Random Values, in which case the CA MUST follow its CPS.

3.2.2.4.5 Domain Authorization Document

Confirming the Applicant’s control over the requested FQDN by relying upon the attestation to the authority of the Applicant to request a Certificate contained in a Domain Authorization Document. The Domain Authorization Document MUST substantiate that the communication came from the Domain Contact. The CA MUST verify that the Domain Authorization Document was either (i) dated on or after the date of the domain validation request or (ii) that the WHOIS data has not materially changed since a previously provided Domain Authorization Document for the Domain Name Space.

3.2.2.4.6 Agreed-Upon Change to Website

Confirming the Applicant’s control over the requested FQDN by confirming one of the following under the "/.well-known/pki-validation" directory, or another path registered with IANA for the purpose of Domain
Validation, on the Authorization Domain Name that is accessible by the CA via HTTP/HTTPS over an Authorized Port:

1. The presence of Required Website Content contained in the content of a file or on a web page in the form of a meta tag. The entire Required Website Content MUST NOT appear in the request used to retrieve the file or web page, or

2. The presence of the Request Token or Request Value contained in the content of a file or on a webpage in the form of a meta tag where the Request Token or Random Value MUST NOT appear in the request.

If a Random Value is used, the CA or Delegated Third Party SHALL provide a Random Value unique to the certificate request and SHALL not use the Random Value after the longer of (i) 30 days or (ii) if the Applicant submitted the certificate request, the timeframe permitted for reuse of validated information relevant to the certificate (such as in Section 3.3.1 of these Guidelines or Section 11.14.3 of the EV Guidelines).

**Note:** Examples of Request Tokens include, but are not limited to: (i) a hash of the public key; (ii) a hash of the Subject Public Key Info [X.509]; and (iii) a hash of a PKCS#10 CSR. A Request Token may also be concatenated with a timestamp or other data. If a CA wanted to always use a hash of a PKCS#10 CSR as a Request Token and did not want to incorporate a timestamp and did want to allow certificate key re-use then the applicant might use the challenge password in the creation of a CSR with OpenSSL to ensure uniqueness even if the subject and key are identical between subsequent requests. This simplistic shell command produces a Request Token which has a timestamp and a hash of a CSR. E.g. echo date -u +%Y%m%d%H%M sha256sum <r2.csr | sed "s/[\-]/\//g" The script outputs: 201602251811c9c863405fe7675a9388b97664ea6ba442019e4e52fa33f406f7c5f26cf14f The CA should define in its CPS (or in a document referenced from the CPS) the format of Request Tokens it accepts.

### 3.2.2.4.7 DNS Change [Reserved]

Confirming the Applicant’s control over the requested FQDN by confirming the presence of a Random Value or Request Token in a DNS TXT or CAA record for an Authorization Domain Name or an Authorization Domain Name that is prefixed with a label that begins with an underscore character.

If a Random Value is used, the CA or Delegated Third Party SHALL provide a Random Value unique to the certificate request and SHALL not use the Random Value after (i) 30 days or (ii) if the Applicant submitted the certificate request, the timeframe permitted for reuse of validated information relevant to the certificate (such as in Section 3.3.1 of these Guidelines or Section 11.14.3 of the EV Guidelines).

### 3.2.2.4.8 [Reserved] IP Address

Confirming the Applicant’s control over the requested FQDN by confirming that the Applicant controls an IP address returned from a DNS lookup for A or AAAA records for the FQDN in accordance with section 3.2.2.5.

### 3.2.2.4.9 [Reserved] Test Certificate

Confirming the Applicant’s control over the requested FQDN by confirming the presence of a non-expired Test Certificate issued by the CA on the Authorization Domain Name and which is accessible by the CA via TLS over an Authorized Port for the purpose of issuing a Certificate with the same Public Key as in the Test Certificate.

### 3.2.2.4.10 TLS Using a Random Number

Confirming the Applicant’s control over the requested FQDN by confirming the presence of a Random Value within a Certificate on the Authorization Domain Name which is accessible by the CA via TLS over an Authorized Port.

### 3.2.2.4.11 Other Methods
The CA SHALL confirm that, as of the date the Certificate issues, either the CA or a Delegated Third Party has validated each Fully-Qualified Domain Name (FQDN) listed in the Certificate by using any method of confirmation, provided that the CA maintains documented evidence that the method of confirmation establishes that the Applicant is the Domain Name Registrant or has control over the Fully Qualified Domain Name (FQDN).

### 3.2.2.5. Authentication for an IP Address

For each IP Address listed in a Certificate, the CA SHALL confirm that, as of the date the Certificate was issued, the Applicant has control over the IP Address by:

1. Having the Applicant demonstrate practical control over the IP Address by making an agreed-upon change to information found on an online Web page identified by a uniform resource identifier containing the IP Address;
2. Obtaining documentation of IP address assignment from the Internet Assigned Numbers Authority (IANA) or a Regional Internet Registry (RIPE, APNIC, ARIN, AfriNIC, LACNIC);
3. Performing a reverse-IP address lookup and then verifying control over the resulting Domain Name under Section 3.2.2.4; or
4. Using any other method of confirmation, provided that the CA maintains documented evidence that the method of confirmation establishes that the Applicant has control over the IP Address to at least the same level of assurance as the methods previously described.

Note: IP Addresses may be listed in Subscriber Certificates using IPAddress in the subjectAltName extension or in Subordinate CA Certificates via IPAddress in permittedSubtrees within the Name Constraints extension.

### 3.2.2.6. Wildcard Domain Validation

Before issuing a certificate with a wildcard character (*) in a CN or subjectAltName of type DNS-ID, the CA MUST establish and follow a documented procedure† that determines if the wildcard character occurs in the first label position to the left of a "registry-controlled" label or "public suffix" (e.g. "*.com", "*.co.uk", see RFC 6454 Section 8.2 for further explanation).

If a wildcard would fall within the label immediately to the left of a registry-controlled† or public suffix, CAs MUST refuse issuance unless the applicant proves its rightful control of the entire Domain Namespace. (e.g. CAs MUST NOT issue "*.co.uk" or "*.local", but MAY issue "*.example.com" to Example Co.).

Prior to September 1, 2013, each CA MUST revoke any valid certificate that does not comply with this section of the Requirements.

†Determination of what is "registry-controlled" versus the registerable portion of a Country Code Top-Level Domain Namespace is not standardized at the time of writing and is not a property of the DNS itself. Current best practice is to consult a "public suffix list" such as [http://publicsuffix.org/](http://publicsuffix.org/) (PSL), and to retrieve a fresh copy regularly. If using the PSL, a CA SHOULD consult the "ICANN DOMAINS" section only, not the "PRIVATE DOMAINS" section. The PSL is updated regularly to contain new gTLDs delegated by ICANN, which are listed in the "ICANN DOMAINS" section. A CA is not prohibited from issuing a Wildcard Certificate to the Registrant of an entire gTLD, provided that control of the entire namespace is demonstrated in an appropriate way.

### 3.2.2.7. Data Source Accuracy

Prior to using any data source as a Reliable Data Source, the CA SHALL evaluate the source for its reliability, accuracy, and resistance to alteration or falsification. The CA SHOULD consider the following during its evaluation:

1. The age of the information provided,
2. The frequency of updates to the information source,