OTA
Online Trust Alliance

Certification Authority Voluntary Best Practices – Self Assertion of Adoption

As an authorized representative of _____, I attest to our support and respective adoption of the Certification Authority Best Practices published by the Online Trust Alliance on March 1, 2013, as outlined at https://otalliance.org/capractices.html. Submit completed form to: staff@otalliance.org

While we recognize that adherence to Certification Authority Best Practices is no guarantee of security, we believe that following these voluntary steps will meaningfully enhance the integrity and trust in the SSL supply chain. I hereby agree that my company may be listed by OTA as implementing the following practices:

Adopted	CA Practices
☐	Hires qualified employees who receive background checks and regular training.
☐	Maintains CA's statement of practices (CPS) current with annual revisions.
☐	Maintains a security incident response plan.
☐	Verifies the identity of certificate applicants by checking independently reliable (e.g. third party) records.
☐	Carefully follows a quality control program to prevent erroneous certificate issuance.
☐	Engages auditors who have computer security auditing experience and specialized training.
☐	CA audit reports attest to compliance with CAB Forum guidelines, such as the EV Guidelines and Baseline Requirements.
☐	Trust anchor root keys are kept off line or air-gapped and protected by multiple security layers and require at least two people to activate.
☐	Supports both CRL and OCSP, and responds to certificate status queries within seconds.
☐	Maintains and regularly tests its business continuity and disaster recovery plans.
☐	Regularly reviews computer activity logs, which are also recorded in a separate and reliable logging system.
☐	Conducts regular vulnerability scans and penetration tests.
☐	Stays current with developments by participating in industry-related organizations.

Name: _____   Title: _____

Signature: _____   Date: _____

Company: _____   Phone: _____

Email: _____

Web site: _____